ARTICLE

Controllability of complex networks

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The ultimate proof of our understanding of natural or technological systems is reflected in our ability to control them. Although control theory offers mathematical tools for steering engineered and natural systems towards a desired state, a framework to control complex self-organized systems is lacking. Here we develop analytical tools to study the controllability of an arbitrary complex directed network, identifying the set of driver nodes with time-dependent control that can guide the system's entire dynamics. We apply these tools to several real networks, finding that the number of driver nodes is determined mainly by the network's degree distribution. We show that sparse inhomogeneous networks, which emerge in many real complex systems, are the most difficult to control, but that dense and homogeneous networks can be controlled using a few driver nodes. Counterintuitively, we find that in both model and real systems the driver nodes tend to avoid the high-degree nodes.

According to control theory, a dynamical system is controllable if, with a suitable choice of inputs, it can be driven from any initial state to any desired final state within finite time¹⁻³. This definition agrees with our intuitive notion of control, capturing an ability to guide a system's behaviour towards a desired state through the appropriate manipulation of a few input variables, like a driver prompting a car to move with the desired speed and in the desired direction by manipulating the pedals and the steering wheel. Although control theory is a mathematically highly developed branch of engineering with applications to electric circuits, manufacturing processes, communication systems⁴⁻⁶, aircraft, spacecraft and robots^{2,3}, fundamental questions pertaining to the controllability of complex systems emerging in nature and engineering have resisted advances. The difficulty is rooted in the fact that two independent factors contribute to controllability, each with its own layer of unknown: (1) the system's architecture, represented by the network encapsulating which components interact with each other; and (2) the dynamical rules that capture the time-dependent interactions between the components. Thus, progress has been possible only in systems where both layers are well mapped, such as the control of synchronized networks7-10, small biological circuits11 and rate control for communication networks^{4–6}. Recent advances towards quantifying the topological characteristics of complex networks^{12–16} have shed light on factor (1), prompting us to wonder whether some networks are easier to control than others and how network topology affects a system's controllability. Despite some pioneering conceptual work¹⁷⁻²³ (Supplementary Information, section II), we continue to lack general answers to these questions for large weighted and directed networks, which most commonly emerge in complex systems.

Network controllability

Most real systems are driven by nonlinear processes, but the controllability of nonlinear systems is in many aspects structurally similar to that of linear systems³, prompting us to start our study using the canonical linear, time-invariant dynamics

$$\frac{d\mathbf{x}(t)}{dt} = A\mathbf{x}(t) + B\mathbf{u}(t) \tag{1}$$

where the vector $\mathbf{x}(t) = (x_1(t), ..., x_N(t))^T$ captures the state of a system of *N* nodes at time *t*. For example, $x_i(t)$ can denote the amount

of traffic that passes through a node *i* in a communication network²⁴ or transcription factor concentration in a gene regulatory network²⁵. The $N \times N$ matrix *A* describes the system's wiring diagram and the interaction strength between the components, for example the traffic on individual communication links or the strength of a regulatory interaction. Finally, *B* is the $N \times M$ input matrix ($M \le N$) that identifies the nodes controlled by an outside controller. The system is controlled using the time-dependent input vector $\mathbf{u}(t) = (u_1(t), ..., u_M(t))^T$ imposed by the controller (Fig. 1a), where in general the same signal $u_i(t)$ can drive multiple nodes. If we wish to control a system, we first need to identify the set of nodes that, if driven by different signals, can offer full control over the network. We will call these 'driver nodes'. We are particularly interested in identifying the minimum number of driver nodes, denoted by N_D , whose control is sufficient to fully control the system's dynamics.

The system described by equation (1) is said to be controllable if it can be driven from any initial state to any desired final state in finite time, which is possible if and only if the $N \times NM$ controllability matrix

$$C = (B, AB, A^2B, \dots, A^{N-1}B)$$
⁽²⁾

has full rank, that is

$$\operatorname{rank}(C) = N \tag{3}$$

This represents the mathematical condition for controllability, and is called Kalman's controllability rank condition^{1,2} (Fig. 1a). In practical terms, controllability can be also posed as follows. Identify the minimum number of driver nodes such that equation (3) is satisfied. For example, equation (3) predicts that controlling node x_1 in Fig. 1b with the input signal u_1 offers full control over the system, as the states of nodes x_1, x_2, x_3 and x_4 are uniquely determined by the signal $u_1(t)$ (Fig. 1c). In contrast, controlling the top node in Fig. 1e is not sufficient for full control, as the difference $a_{31}x_2(t) - a_{21}x_3(t)$ (where a_{ij} are the elements of *A*) is not uniquely determined by $u_1(t)$ (see Fig. 1f and Supplementary Information section III.A). To gain full control, we must simultaneously control node x_1 and any two nodes among $\{x_2, x_3, x_4\}$ (see Fig. 1h, i for a more complex example).

To apply equations (2) and (3) to an arbitrary network, we need to know the weight of each link (that is, the a_{ij}), which for most real

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Figure 1 Controlling a simple network. a, The small network can be controlled by an input vector $\mathbf{u} = (u_1(t), u_2(t))^T$ (left), allowing us to move it from its initial state to some desired final state in the state space (right). Equation (2) provides the controllability matrix (*C*), which in this case has full rank, indicating that the system is controllable. **b**, Simple model network: a directed path. **c**, Maximum matching of the directed path. Matching edges are shown in purple, matched nodes are green and unmatched nodes are white. The unique maximum matching includes all links, as none of them share a common starting or ending node. Only the top node is unmatched, so controlling it yields full control of the directed path ($N_D = 1$). **d**, In the directed path shown in **b**, all links are critical, that is, their removal eliminates our ability to control the network. **e**, Small model network: the directed star. **f**, Maximum matchings of

networks are either unknown (for example regulatory networks) or are known only approximately and are time dependent (for example Internet traffic). Even if all weights are known, a brute-force search requires us to compute the rank of C for $2^N - 1$ distinct combinations, which is a computationally prohibitive task for large networks. To bypass the need to measure the link weights, we note that the system (A, B) is 'structurally controllable'²⁶ if it is possible to choose the non-zero weights in A and B such that the system satisfies equation (3). A structurally controllable system can be shown to be controllable for almost all weight combinations, except for some pathological cases with zero measure that occur when the system parameters satisfy certain accidental constraints^{26,27}. Thus, structural controllability helps us to overcome our inherently incomplete knowledge of the link weights in A. Furthermore, because structural controllability implies controllability of a continuum of linearized systems²⁸, our results can also provide a sufficient condition for controllability for most nonlinear systems³ (Supplementary Information, section III.A).

To avoid the brute-force search for driver nodes, we proved that the minimum number of inputs or driver nodes needed to maintain full control of the network is determined by the 'maximum matching' in the network, that is, the maximum set of links that do not share start or end nodes (Fig. 1c, f, i). A node is said to be matched if a link in the maximum matching points at it; otherwise it is unmatched. As we show in the Supplementary Information, the structural controllability

the directed star. Only one link can be part of the maximum matching, which yields three unmatched nodes ($N_D = 3$). The three different maximum matchings indicate that three distinct node configurations can exert full control. **g**. In a directed star, all links are ordinary, that is, their removal can eliminate some control configurations but the network could be controlled in their absence with the same number of driver nodes N_D . **h**, Small example network. **i**, Only two links can be part of a maximum matching for the network in **h**, yielding four unmatched nodes ($N_D = 4$). There are all together four different maximum matchings for this network. **j**, The network has one critical link, one redundant link (which can be removed without affecting any control configuration) and four ordinary links.

problem maps into an equivalent geometrical problem on a network: we can gain full control over a directed network if and only if we directly control each unmatched node and there are directed paths from the input signals to all matched nodes²⁹. The possibility of determining N_D , using this mapping, is our first main result. As the maximum matching in directed networks can be identified numerically in at most $O(N^{1/2}L)$ steps³⁰, where *L* denotes the number of links, the mapping offers an efficient method to determine the driver nodes for an arbitrary directed network.

Controllability of real networks

We used the tools developed above to explore the controllability of several real networks. The networks were chosen for their diversity: for example, the purpose of the gene regulatory network is to control the dynamics of cellular processes, so it is expected to evolve towards a structure that is efficient from a control perspective, potentially implying a small number of driver nodes (that is, small $n_D \equiv N_D/N$). In contrast, for the World Wide Web or citation networks controllability has no known role, making it difficult even to guess n_D . Finally, it might be argued that social networks, given their perceived neutrality (or even resistance) to control, should have a high n_D , as it is necessary to control most individuals separately to control the whole system. We used the mapping into maximum matching to determine the minimum set of driver nodes (N_D) for the networks in Table 1, the

obtained trend defying our expectations: as a group, gene regulatory networks display high n_D (~0.8), indicating that it is necessary to independently control about 80% of nodes to control them fully. In contrast, several social networks are characterized by some of the smallest n_D values, suggesting that a few individuals could in principle control the whole system.

Given the important role hubs (nodes with high degree) have in maintaining the structural integrity of networks against failures and attacks^{31,32}, in spreading phenomena^{32,33} and in synchronization^{8,34}, it is natural to expect that control of the hubs is essential to control a network. To test the validity of this hypothesis, we divided the nodes into three groups of equal size according to their degree, *k* (low, medium and high). As Fig. 2a, b shows for two canonical network models (Erdős–Rényi^{35,36} and scale-free^{15,37–39}), the fraction of driver nodes is significantly higher among low-*k* nodes than among the hubs. In Fig. 2c, we plot the mean degree of the driver nodes, $\langle k_D \rangle$, as a function of the mean degree, $\langle k \rangle$, of each network in Table 1 and several network models. In all cases, $\langle k_D \rangle$ is either significantly smaller than or comparable to $\langle k \rangle$, indicating that in both real and model systems the driver nodes tend to avoid the hubs.

To identify the topological features that determine network controllability, we randomized each real network using a full randomization procedure (rand-ER) that turns the network into a directed Erdős–Rényi random network with N and L unchanged. For several networks there is no correlation between the $N_{\rm D}$ of the original network and the $N_{\rm D}$ of its randomized counterpart (Fig. 2d), indicating that full randomization eliminates the topological characteristics that influence controllability. We also applied a degree-preserving randomization^{40,41} (rand-Degree), which keeps the in-degree, $k_{\rm in}$, and out degree, $k_{\rm out}$, of each node unchanged but selects randomly the nodes that link to each other. We find that this procedure does not alter $N_{\rm D}$ significantly, despite the observed differences in $N_{\rm D}$ of six orders of magnitude (Fig. 2e). Thus, a system's controllability is to a great extent encoded by the underlying network's degree distribution, $P(k_{\rm in}, k_{\rm out})$, which is our second and most important finding. It indicates that $N_{\rm D}$ is determined mainly by the number of incoming and outgoing links each node has and is independent of where those links point.

An analytical approach to controllability

The importance of the degree distribution allows us to determine $N_{\rm D}$ analytically for a network with an arbitrary $P(k_{\rm in}, k_{\rm out})$. Using the cavity method^{42–44}, we derived a set of self-consistent equations (Supplementary Information, section IV) whose input is the degree distribution and whose solution is the average $n_{\rm D}$ (or $N_{\rm D}$) over all network realizations compatible with $P(k_{\rm in}, k_{\rm out})$, which is our third key result. As Fig. 2f shows, the analytically predicted $N_{\rm D}$ agrees perfectly with $N_{\rm D}^{\rm rand-Degree}$ (and hence is in good agreement with the exact value, $N_{\rm D}^{\rm real}$), offering an effective analytical tool to study

Table 1	. The characteristics of the real networks analysed in the paper of th	per
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Regulatory TRN-Yeast-1 4.41 1.2873 0.965 0.965 0.083 TRN-E0-1 1.550 3.340 0.891 0.303 TRN-E0-1 0.303 TRN-E0-1 1.550 3.340 0.891 0.891 0.303 TRN-E0-2 418 519 0.75 0.820 0.815 0.480 Ownership-USCorp 7.253 6.726 0.820 0.815 0.480 Trust College student 32 96 0.188 0.173 0.082 Prison inmate 67 182 0.13 0.014 0.013 Sigshdot 82,168 948,464 0.045 0.278 1.7 x 10^{-5} Food web Vthan 135 601 0.511 0.433 0.016 Little Rock 183 2.494 0.541 0.200 0.005 Grassland 88 137 0.523 0.477 0.301 Power grid Texas 4.889 5.855 0.325 0.181 0.124	Туре	Name	Ν	L	<i>n</i> _D ^{real}	$n_{\rm D}^{\rm rand-Degree}$	$n_{\rm D}^{\rm rand-ER}$
	Regulatory	TRN-Yeast-1	4,441	12,873	0.965	0.965	0.083
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Ownership-USCorp $7,253$ $6,726$ 0.820 0.815 0.480 TrustCollege student 32 96 0.184 0.173 0.082 Pirson inmate 67 182 0.134 0.014 0.002 Siashdot $82,168$ $948,464$ 0.045 0.278 1.7×10^{-5} Wik/Vate $7,115$ $103,689$ 0.666 0.666 0.001 Food webUtile Rock 183 $2,494$ 0.511 0.433 0.016 Little Rock 183 $2,494$ 0.511 0.433 0.016 Grassland 88 137 0.523 0.477 0.301 Seagrass 49 2.26 0.265 0.199 0.203 Power gridTexas 4.889 5.855 0.325 0.287 0.396 MetabolicSecharichia coli 2.275 $5,763$ 0.382 0.207 0.130 Caenorihabitis legans $1,173$ $2,864$ 0.302 0.201 0.144 Electronic circuits 8420 252 399 0.232 0.194 0.298 NeuronalCaenorihabitis elegans 297 $2,345$ 0.165 0.098 0.003 NeuronalCaenorihabitis elegans 297 $2,345$ 0.165 0.298 30×10^{-4} NeuronalCaenorihabitis elegans 297 $2,345$ 0.165 0.298 30×10^{-4} Nord Wide Webnd.edu $325,729$ $1,497,134$ 0.677 0.622 0		TRN-EC-2	418	519	0.751	0.752	0.380
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Seagrass492260.2650.1990.203Power gridTexas4,8895,8550.3250.2870.396MetabolicEscherichia coli Saccharomyces cerevisiae2,2755,7630.3820.2180.129Caenorhabditis elegans1,5113,8330.3290.2070.130Electronic circuitss838 s4205123190.2320.1940.293s2081221890.2380.1990.301NeuronalCaenorhabditis elegans2972,3450.1650.0980.003CitationArXiv-HepTh ArXiv-HepPh27,770352,8070.2160.199 3.6×10^{-5} World Wide Weband edu stantord-edu Political blogs228,19032,312,4970.3170.228 0.356 0.002 Internetp2p-1 p2p-210,87639,9940.5520.5510.001 0.002Social communicationUClonline Email-epoch Celiphone1,899 3,65520,2060.323 0.3230.322 0.2040.706 0.332Intra-organizationalFreemans-2 Freemans-134 34695 60290.029 0.0290.029 0.0290.029 0.0290.029 0.029Intra-organizationalFreemans-1 Freemans-134 34695 60330.013 0.00130.013 0.002		Grassland	88	137	0.523	0.477	0.301
Power gridTexas4,8895,8550.3250.2870.396MetabolicEscherichia coli Saccharomyces cerevisiae Caenorhabdilis elegans2,2755,763 3,8330,329 0,3020,207 0,2010,130 0,144Electronic circuitsS438 s420 s208252 122399 1890,234 0,2380,195 0,2380,293 0,234NeuronalCaenorhabditis elegans2972,3450,1650.0980,003NeuronalCaenorhabditis elegans2972,3450,1650,0980,003CitationArXiv-HepTh ArXiv-HepPh27,770 34,546352,807 421,5780,216 0,2320,199 0,2323,6 × 10^{-5}World Wide Webnd.edu stanford.edu political blogs235,729 1,2241,497,134 1,90250,677 0,3560,622 0,2850,001 3,0 × 10^{-4}Internetp2p-1 p2p-2 8,8461,839 3,15250,551 0,5770,001 0,5520,001 0,3560,002 0,225Social communicationUCIonline Email-epoch Cellphone1,899 3,188 3,5260,229 0,2290,029 0,0290,029 0,0290,029 0,029Intra-organizationalFreemans-1 Freemans-1 Manufacturing Consulting34 76 77 72,2280,013 0,0130,013 0,0130,013 0,013		Seagrass	49	226	0.265	0.199	0.203
MetabolicEscherichia coli Saccharomyces cerevisiae Caenorhabditis elegans2,275 1,5115,763 3,8330.382 0,229 0,3020.218 0,2010.129 0,130 0,144Electronic circuitss838 s420 s208512 225 228 122819 1890.232 0,2380.194 0,195 0,298 0,1950.293 0,291NeuronalCaenorhabditis elegans2972,3450.1650.098 0,0030.003CitationArXiv-HepTh ArXiv-HepPh27,770 34,546352,807 421,5780,216 0,2320.199 0,3013.6 \times 10^{-5} 3.0 \times 10^{-5}World Wide Webnd.edu stanford.edu Political blogs225,729 1,2241,497,134 19,0250.677 0,317 0,3170.622 0,2250.012 3.0 × 10^{-4}Internetp2p-1 p2p-2 p2p-38,876 8,71731,525 3,188 39,2560.551 0,5770,001 0,574Social communicationUCIonline Email-epoch 3,188 Cellphone1,899 3,6595 3,188 39,2560,323 0,322 0,2240,332 0,029 0,2290,029 0,029Intra-organizationalFreemans-2 Freemans-1 Manufacturing Consulting 777 777 777 777 777 777 2,228 2,0033 0,0130,013 0,0130,013 0,013	Power grid	Texas	4,889	5,855	0.325	0.287	0.396
Saccharomyces cerevisiae1,5113,8330.3290.2070.130Caenorhabditis elegans1,1732,8640.3020.2010.144Electronic circuits $\$338$ 512 $\$19$ 0.2320.1940.293s2081221890.2380.1990.301NeuronalCaenorhabditis elegans2972,3450.1650.0980.003CitationArXiv-HepTh ArXiv-HepPh27,770352,807 34,5460.2160.199 0.232 3.6×10^{-5} World Wide Webnd.edu stanford.edu325,729 2,312,4971,497,134 0,6770.677 0,317 0,2580.622 3,0 × 10^{-5}World Wide Webnd.edu p2p-2325,729 8,8461,497,134 3,15250.677 0,3560.622 0,2280.012 3,0 × 10^{-4}Internetp2p-1 p2p-2 p2p-310,876 8,71739,994 3,5250.551 0,5770.001 0,574Social communicationUCIonline Ermai-epoch Cellphone1,899 3,659520,296 9,12860.323 0,0290.322 0,0290.029 0,029Intra-organizationalFreemans-2 Freemans-134 34 695 60,0290.029 0,0290.029 0,0290.029 0,0290.029 0,029	Metabolic	Escherichia coli	2,275	5,763	0.382	0.218	0129
Caenonhabditis elegans 1,173 2,864 0.302 0.201 0.144 Electronic circuits \$838 512 819 0.232 0.194 0.293 Neuronal Caenonhabditis elegans 252 399 0.234 0.195 0.298 Neuronal Caenonhabditis elegans 297 2,345 0.165 0.098 0.003 Citation ArXiv-HepTh 27,770 352,807 0.216 0.199 3.6 × 10 ⁻⁵ World Wide Web nd.edu 325,729 1,497,134 0.677 0.622 0.012 Internet p2p-1 10,876 39,994 0.552 0.258 3.0 × 10 ⁻⁴ Internet p2p-1 10,876 39,994 0.552 0.551 0.002 Social communication UColnline 1,899 20,296 0.323 0.322 0.706 Email-epoch 3,188 39,256 0.426 0.332 0.302 0.002 Social communication UColonline 1,899 20,296	metabolie	Saccharomyces cerevisiae	1 511	3,833	0.329	0.207	0.130
Electronic circuits $\$3838$ $$420$ $$208$ 512 $$252$ $$122$ $\$19$ $$399$ $$189$ 0.232 0.238 0.194 0.195 0.298 0.301 NeuronalCaenorhabditis elegans 297 $2,345$ 0.165 0.098 0.003 CitationArXiv-HepTh ArXiv-HepPh $27,770$ $34,546$ $352,807$ $421,578$ 0.216 0.232 0.199 0.208 3.6×10^{-5} 3.0×10^{-5} World Wide Webnd.edu stanford.edu Political blogs $325,729$ $1,224$ $1,497,134$ $19,025$ 0.677 0.317 0.622 $0.2850.0123.0 \times 10^{-4}Internetp2p-1p2p-2p2p-310,8768,71739,99431,5250.5520.5770.5010.5740.0010.002Social communicationUclonlineEmail-epochCellphone1.89936,5950.22991,8260.3230.2360.3220.2040.7060.212Intra-organizationalFreemans-2Freemans-1Manufacturing34468308790.0290.0230.0290.0290.0290.029$		Caenorhabditis elegans	1,173	2,864	0.302	0.201	0.144
Lieutonine circuitisSaco stato s208Sitz 252Sitz 399OL232 0.234OL251 0.195 0.238OL252 0.195 0.238NeuronalCaenorhabditis elegans2972,3450.1650.0980.003CitationArXiv-HepTh ArXiv-HepPh27,770 34,546352,807 421,5780.2160.199 0.232 3.6×10^{-5} 0.208World Wide Webnd.edu stanford.edu Political blogs325,729 1,2241,497,134 19,0250.677 0.3170.622 0.2580.012 3.0 $\times 10^{-4}$ Internetp2p-1 p2p-2 p2p-310.876 8,71739,994 31,5250.552 0.5770.551 0.5740.001 0.002Social communicationUClonline Email-epoch Cellphone1,899 36,59520,296 91,8260.322 0.2040.706 0.212Intra-organizationalFreemans-2 Freemans-1 Manufacturing34 77 77 77 2,228 0.013 0.0130.013 0.013 0.0130.013 0.013 0.013	Electronic circuits	s838	512	819	0.232	0 194	0 293
SizesLoc3350.2380.1930.230NeuronalCaenorhabditis elegans2972,3450.1650.0980.003CitationArXiv-HepTh27,770352,8070.2160.199 3.6×10^{-5} Morid Wide WebArXiv-HepPh34,546421,5780.2320.208 3.0×10^{-5} World Wide Webnd.edu325,7291,497,1340.6770.6220.012Neuronal281,9032,312,4970.3170.258 3.0×10^{-4} Political blogs1,22419,0250.3560.285 8.0×10^{-4} Internetp2p-110,87639,9940.5520.5510.002p2p-28,84631,8390.5780.5690.002Social communicationUClonline1,89920,2960.3230.3220.706Litra-organizationalFreemans-2348300.0290.0290.029Freemans-1346950.0290.0290.0290.029Manufacturing772,2280.0130.0130.0130.013Consulting468790.0430.0430.0430.022		\$420	252	399	0.232	0.195	0.298
NeuronalCaenorhabditis elegans2972,3450.1650.0980.003CitationArXiv-HepTh ArXiv-HepPh27,770 34,546352,807 421,5780.216 0.2320.199 0.208 3.6×10^{-5} 3.0 $\times 10^{-5}$ World Wide Webnd.edu stanford.edu Political blogs325,729 21,2241,497,134 19,0250.677 0.3560.622 0.2080.012 3.0 $\times 10^{-4}$ Internetp2p-1 p2p-2 p2p-31,876 8,71739,994 31,5250.552 0.5780.556 0.569 0.5770.002Social communicationUClonline Email-epoch Cellphone1,899 36,59520,296 91,8260.323 0.2040.322 0.2120.706 0.332 0.302Intra-organizationalFreemans-2 Freemans-1 Manufacturing Consulting34 77 72,2280.002 0.029 0.0290.029 0.0290.029 0.029		s208	122	189	0.238	0.199	0.301
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CitationArXiv-HepTin27,770 $352,807$ 0.216 0.199 3.6×10^{-5} ArXiv-HepPh $34,546$ $421,578$ 0.232 0.208 3.0×10^{-5} World Wide Webnd.edu $325,729$ $1,497,134$ 0.677 0.622 0.012 stanford.edu $281,903$ $2,312,497$ 0.317 0.258 3.0×10^{-4} Political blogs $1,224$ $19,025$ 0.356 0.285 8.0×10^{-4} Internet $p2p-1$ $10,876$ $39,994$ 0.552 0.551 0.001 $p2p-2$ $8,846$ $31,839$ 0.578 0.569 0.002 $p2p-3$ $8,717$ $31,525$ 0.577 0.574 0.002 Social communicationUClonline $1,899$ $20,296$ 0.323 0.322 0.706 Email-epoch $3,188$ $39,256$ 0.426 0.332 3.0×10^{-4} Intra-organizationalFreemans-2 34 830 0.029 0.029 Manufacturing 77 $2,228$ 0.013 0.013 0.013 Consulting 46 879 0.043 0.013 0.013	Oitetier.	A.Via Harth		252.007	0.010	0.100	2.6×10^{-5}
ArXiv-HepPh $34,546$ $421,578$ 0.232 0.208 3.0×10^{-5} World Wide Webnd.edu $325,729$ $1,497,134$ 0.677 0.622 0.012 stanford.edu $281,903$ $2,312,497$ 0.317 0.258 3.0×10^{-4} Political blogs $1,224$ $19,025$ 0.356 0.285 8.0×10^{-4} Internet $p2p-1$ $10,876$ $39,994$ 0.552 0.551 0.001 $p2p-2$ $8,846$ $31,839$ 0.578 0.569 0.002 $p2p-3$ $8,717$ $31,525$ 0.577 0.574 0.002 Social communicationUClonline $1,899$ $20,296$ 0.323 0.322 0.706 Email-epoch $3,188$ $39,256$ 0.426 0.332 3.0×10^{-4} Intra-organizationalFreemans-2 34 830 0.029 0.029 0.029 Manufacturing 77 $2,228$ 0.013 0.013 0.013 Consulting 46 879 0.043 0.043 0.022	Citation	Arxiv-HepIn	27,770	352,807	0.216	0.199	3.6 × 10 °
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$		ArXiv-HepPh	34,546	421,578	0.232	0.208	3.0×10^{-2}
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	World Wide Web	nd.edu	325,729	1,497,134	0.677	0.622	0.012
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$		stanford.edu	281,903	2,312,497	0.317	0.258	3.0×10^{-4}
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p2p-3 8,717 31,525 0.577 0.574 0.002 Social communication UCIonline 1,899 20,296 0.323 0.322 0.706 Email-epoch 3,188 39,256 0.426 0.332 3.0 × 10 ⁻⁴ Cellphone 36,595 91,826 0.204 0.212 0.133 Intra-organizational Freemans-2 34 830 0.029 0.029 Freemans-1 34 695 0.029 0.029 0.029 Manufacturing 77 2,228 0.013 0.013 0.013 Consulting 46 879 0.043 0.023 0.023		p2p-2	8,846	31,839	0.578	0.569	0.002
Social communication UCIonline 1,899 20,296 0.323 0.322 0.706 Email-epoch 3,188 39,256 0.426 0.332 3.0 × 10 ⁻⁴ Cellphone 36,595 91,826 0.204 0.212 0.133 Intra-organizational Freemans-2 34 830 0.029 0.029 0.029 Manufacturing 77 2,228 0.013 0.013 0.013 Consulting 46 879 0.043 0.023 0.023		p2p-3	8,717	31,525	0.577	0.574	0.002
Email-epoch Cellphone 3,188 36,595 39,256 91,826 0.426 0.204 0.332 0.212 3.0×10^{-4} Intra-organizational Freemans-2 34 830 0.029 0.029 0.029 Freemans-1 34 695 0.029 0.029 0.029 Manufacturing 77 2,228 0.013 0.013 Consulting 46 879 0.043 0.023	Social communication	UCIonline	1,899	20,296	0.323	0.322	0.706
Cellphone 36,595 91,826 0.204 0.212 0.133 Intra-organizational Freemans-2 34 830 0.029 0.029 0.029 Freemans-1 34 695 0.029 0.029 0.029 Manufacturing 77 2,228 0.013 0.013 Consulting 46 879 0.043 0.022		Email-epoch	3,188	39,256	0.426	0.332	$3.0 imes 10^{-4}$
Intra-organizational Freemans-2 34 830 0.029 0.029 0.029 Freemans-1 34 695 0.029 0.029 0.029 Manufacturing 77 2,228 0.013 0.013 0.013 Consulting 46 879 0.043 0.023		Cellphone	36,595	91,826	0.204	0.212	0.133
Freemans-1 34 695 0.029 0.029 Manufacturing 77 2,228 0.013 0.013 Consulting 46 879 0.043 0.043	Intra-organizational	Freemans-2	34	830	0.029	0.029	0.029
Manufacturing 77 2,228 0.013 0.013 Consulting 46 879 0.043 0.043 0.022		Freemans-1	34	695	0.029	0.029	0.029
Consulting 46 879 0.043 0.043 0.022		Manufacturing	77	2,228	0.013	0.013	0.013
		Consulting	46	879	0.043	0.043	0.022

For each network, we show its type and name; number of nodes (N) and edges (L); and density of driver nodes calculated in the real network (n_D^{real}), after degree-preserved randomization ($n_D^{rand-Degree}$) and after full randomization ($n_D^{rand-ER}$). For data sources and references, see Supplementary Information, section VI.



Figure 2 Characterizing and predicting the driver nodes (*N*_D)**. a**, **b**, Role of the hubs in model networks. The bars show the fractions of driver nodes, *f*_D, among the low-, medium- and high-degree nodes in two network models, Erdős–Rényi (**a**) and scale-free (**b**), with $N = 10^4$ and $\langle k \rangle = 3$ ($\gamma = 3$), indicating that the driver nodes tend to avoid the hubs. Both the Erdős–Rényi and the scale-free networks are generated from the static model³⁸ and the results are averaged over 100 realizations. The error bars (s.e.m.), shown in the figure, are smaller than the symbols. **c**, Mean degree of driver nodes compared with the mean degree of all nodes in real and model networks, indicating that in real

the impact of various network parameters on N_D . Although the cavity method does not offer a closed-form solution, we can derive the dependence of n_D on key network parameters in the thermodynamic limit ($N \rightarrow \infty$). We find, for example, that for a directed Erdős–Rényi network n_D decays as

$$n_{\rm D} \approx {\rm e}^{-\langle k \rangle/2} \tag{4}$$

for large $\langle k \rangle$. For scale-free networks with degree exponent $\gamma_{in} = \gamma_{out} = \gamma$ in the large- $\langle k \rangle$ limit³⁸, we have

$$n_{\rm D} \approx \exp\left[-\frac{1}{2}\left(1-\frac{1}{\gamma-1}\right)\langle k\rangle\right]$$
 (5)

which has the same $\langle k \rangle$ dependence as equation (4) in the $\gamma \rightarrow \infty$ limit. Equation (5) predicts that $\gamma_c = 2$ is a critical exponent for the controllability of an infinite scale-free network, as only for $\gamma > \gamma_c$ can we control the full system through a finite subset of nodes (that is, $n_D < 1$). For $\gamma \leq \gamma_c$ in the thermodynamic limit, all nodes must be individually controlled (that is, $n_D = 1$). We note that γ_c is different from $\gamma = 3$, which is the critical exponent for a number of network phenomena driven by the divergence of $\langle k^2 \rangle$, from network robustness to epidemic spreading^{31–33,45}. To check the validity of the analytical predictions, we determined the $\langle k \rangle$ dependence of n_D numerically for both Erdős–Rényi and scale-free networks, confirming the asymptotic exponential dependence of n_D on $\langle k \rangle$, as predicted by equations (4) and (5). Furthermore, the predicted n_D value is in excellent

systems the hubs are avoided by the driver nodes. **d**, Number of driver nodes, $N_{\rm D}^{\rm rand-ER}$, obtained for the fully randomized version of the networks listed in Table 1, compared with the exact value, $N_{\rm D}^{\rm real}$. **e**, Number of driver nodes, $N_{\rm D}^{\rm rand-Degree}$, obtained for the degree-preserving randomized version of the networks shown in Table 1, compared with $N_{\rm D}^{\rm real}$. **f**, The analytically predicated $N_{\rm D}^{\rm analytic}$ calculated using the cavity method, compared with $N_{\rm D}^{\rm rand-Degree}$. In **d**-**f**, data points and error bars (s.e.m.) were determined from 1,000 realizations of the randomized networks.

agreement with the numerical results for $\gamma > 3$ (Fig. 3d, e). Near $\gamma = 2$, however, n_D as predicted by the cavity method deviates from the exact n_D value owing to degree correlations that are prominent at $\gamma_c = 2$ and can be eliminated by imposing a degree cut-off in constructing the scale-free networks^{39,46} (Supplementary Information, section IV.B).

Equation (5) also shows that n_D decreases as γ increases (for fixed $\langle k \rangle$), indicating that $n_{\rm D}$ is affected by degree heterogeneity, representing the spread between the less connected and the more connected nodes. We defined the degree heterogeneity as $H = \Delta / \langle k \rangle$, where $\Delta = \sum_{i} \sum_{j} |k_i - k_j| P(k_i) P(k_j)$ is the average absolute degree difference of all pairs of nodes (*i* and *j*) drawn from the degree distribution P(k). The degree heterogeneity is zero (H = 0) for networks in which all nodes have the same degree, such as the random regular digraph (Fig. 3a), in which the in- and out-degrees of the nodes are fixed to $\langle k \rangle/2$ but the nodes are connected randomly. For $\langle k \rangle \ge 2$, this graph always has a perfect matching⁴⁷, which means that a single driver node can control the whole system (Supplementary Information, section IV.B1). The degree heterogeneity increases as we move from the random regular digraph to an Erdős-Rényi network (Fig. 3b) and eventually to scale-free networks with decreasing γ (Fig. 3c). Overall, the fraction of driver nodes, $n_{\rm D}$, increases monotonically with *H*, whether we keep γ (Fig. 3f) or $\langle k \rangle$ (Fig. 3g) constant.

Taking these results together, we find that the denser a network is, the fewer driver nodes are needed to control it, and that small changes in the average degree induce orders-of-magnitude variations in $n_{\rm D}$.



Figure 3 | The impact of network structure on the number of driver nodes. a-c, Characteristics of the explored model networks. A random-regular digraph (a), shown here for $\langle k \rangle = 4$, is the most degree-homogeneous network as $k_{\rm in} = k_{\rm out} = \langle k \rangle / 2$ for all nodes. Erdős-Rényi networks (b) have Poisson degree distributions and their degree heterogeneities are determined by $\langle k \rangle$. Scale-free networks (c) have power-law degree distributions, yielding large degree heterogeneities. d, Driver node density, $n_{\rm D}$, as a function of $\langle k \rangle$ for Erdős-Rényi (ER) and scale-free (SF) networks with different values of γ . Both the Erdős–Rényi and the scale-free networks are generated from the static model³⁸ with $N = 10^5$. Lines are analytical results calculated by the cavity method using the expected degree distribution in the $N \rightarrow \infty$ limit. Symbols are calculated for the constructed discrete network: open circles indicate exact results calculated from the maximum matching algorithm, and plus symbols indicate the analytical results of the cavity method using the exact degree sequence of the constructed network. For large $\langle k \rangle$, $n_{\rm D}$ approaches its lower bound, N^{-1} , that is, a single driver node $(N_{\rm D} = 1)$ in a network of size *N*. **e**, $n_{\rm D}$ as a function of γ for scale-free networks with fixed $\langle k \rangle$. For infinite scale-free networks, $n_D \rightarrow 1$ as $\gamma \rightarrow \gamma_c = 2$, that is, it is necessary to control almost all nodes to control the network fully. For finite scale-free networks, $n_{\rm D}$ reaches its maximum as γ approaches $\gamma_{\rm c}$ (Supplementary Information). **f**, $n_{\rm D}$ as a function of degree heterogeneity, H, for Erdős-Rényi and scale-free networks with fixed γ and variable $\langle k \rangle$. g, $n_{\rm D}$ as a function of H for Erdős–Rényi and scalefree networks for fixed $\langle k \rangle$ and variable γ . As γ increases, the curves converge to the Erdős-Rényi result (black) at the corresponding $\langle k \rangle$ value.



b

P(k)

Erdős-Rényi

Scale-free

 $\log[P(k)]$

Random regular

P(k)

Furthermore, the larger are the differences between node degrees, the more driver nodes are needed to control the system. Overall, networks that are sparse and heterogeneous, which are precisely the characteristics often seen in complex systems like the cell or the Internet^{13–16}, require the most driver nodes, underscoring that such systems are difficult to control.

Robustness of control

To see how robust is our ability to control a network under unavoidable link failure, we classify each link into one of the following three categories (Fig. 1d, g, j): 'critical' if in its absence we need to increase the number of driver nodes to maintain full control; 'redundant' if it can be removed without affecting the current set of driver nodes; or 'ordinary' if it is neither critical nor redundant. Figure 4 shows the densities of critical ($l_c = L_c/L$), redundant ($l_r = L_r/L$) and ordinary ($l_o = L_o/L$) links for each real network, and indicates that most networks have few or no critical links. Most links are ordinary, meaning that they have a role in some control configurations but that the network can still be controlled in their absence.

To understand the factors that determine l_c , l_r and l_o , in Fig. 5a, c, f we show their $\langle k \rangle$ dependence for model systems. The behaviour of l_c is the easiest to understand: for small $\langle k \rangle,$ all links are essential for control ($l_{\rm c} \approx 1$). As $\langle k \rangle$ increases, the network's redundancy increases, decreasing l_c . The increasing redundancy suggests that the density of redundant links, l_r , should always increase with $\langle k \rangle$, but it does not: it reaches a maximum at a critical value of $\langle k \rangle$, $\langle k \rangle_c$, after which it decays. This non-monotonic behaviour results from the competition of two topologically distinct regions of a network, the core and leaves⁴³. The core represents a compact cluster of nodes left in the network after applying a greedy leaf removal procedure⁴⁸, and leaves are nodes with $k_{\rm in} = 1$ or $k_{\rm out} = 1$ before or during leaf removal. The core emerges through a percolation transition (Fig. 5b, d): for $k < \langle k \rangle_c$, $n_{\rm core} = N_{\rm core}/N = 0$, so the system consists of leaves only (Fig. 5e). At $\langle k \rangle = \langle k \rangle_{c}$, a small core emerges, decreasing the number of leaves. For Erdős–Rényi networks, we predict that $\langle k \rangle_c = 2e \approx 5.436564$ in agreement with the numerical result (Fig. 5a, b), a value that coincides with $\langle k \rangle$ where l_r reaches its maximum. Indeed, l_r starts decaying at $\langle k \rangle_c$ because for $\langle k \rangle > \langle k \rangle_c$ the number of distinct maximum





matchings increases exponentially (Supplementary Information, section IV.C) and, as a result, the chance that a link does not participate in any control configuration decreases. For scale-free networks, we observe the same behaviour, with the caveat that $\langle k \rangle_c$ decreases with γ (Fig. 5c, d).

Discussion and conclusions

Control is a central issue in most complex systems, but because a general theory to explore it in a quantitative fashion has been lacking, little is known about how we can control a weighted, directed network—the configuration most often encountered in real systems. Indeed, applying Kalman's controllability rank condition (equation (3)) to large networks is computationally prohibitive, limiting previous work to a few dozen nodes at most^{17–19}. Here we have developed the tools to address controllability for arbitrary network topologies and sizes. Our key finding, that $N_{\rm D}$ is determined mainly by the degree



Figure 5 | **Control robustness. a**, Dependence on $\langle k \rangle$ of the fraction of critical (red, l_c), redundant (green, l_r) and ordinary (grey, l_o) links for an Erdős–Rényi network: l_r peaks at $\langle k \rangle = \langle k \rangle_c = 2e$ and the derivative of l_c is discontinuous at $\langle k \rangle = \langle k \rangle_c$. **b**, Core percolation for Erdős–Rényi network occurs at $k = \langle k \rangle_c = 2e$, which explains the l_r peak. **c**, **d**, Same as in **a** and **b** but for scale-free networks. The Erdős–Rényi and scale-free networks³⁸ have $N = 10^4$ and the results are

averaged over ten realizations with error bars defined as s.e.m. Dotted lines are only a guide to the eye. **e**, The core (red) and leaves (green) for small Erdős–Rényi networks (N = 30) at different $\langle k \rangle$ values ($\langle k \rangle = 4, 5, 7$). Node sizes are proportional to node degrees. **f**, The critical (red), redundant (green) and ordinary (grey) links for the above Erdős–Rényi networks at the corresponding $\langle k \rangle$ values.



distribution, allows us to use the tools of statistical physics to predict $N_{\rm D}$ from $P(k_{\rm in}, k_{\rm out})$ analytically, offering a general formalism with which to explore the impact of network topology on controllability.

The framework presented here raises a number of questions, answers to which could further deepen our understanding of control in complex environments. For example, although our analytical work focused on uncorrelated networks, the algorithmic method we developed can identify $N_{\rm D}$ for arbitrary networks, providing a framework in which to address the role of correlations systematically^{40,49,50}. Taken together, our results indicate that many aspects of controllability can be explored exactly and analytically for arbitrary networks if we combine the tools of network science and control theory, opening new avenues to deepening our understanding of complex systems.

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